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Complete if Known itute for form 1449A/PTO 10/700,201 **Application Number** INFORMATION DISCLOSURE November 3, 2003 Filing Date STATEMENT BY APPLICANT Wu et al. First Named Inventor (use as many sheets as necessary) To Be Assigned Art Unit Examiner Name To Be Assigned Attorney Docket Number 19603/4301 (CRF D-3082-03) Sheet of

				U.S. PATENT DO	CUMENTS	
Examiner Initials	r	Cite No.	U.S. Patent Document  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	7*
		Country Code <sup>3</sup> Number <sup>4</sup> (if known)	MM-DD-YYYY		Figures Appear	
BP	13	WO 99/66785	12/29/1999	WIPO		
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				Examiner Name	To Be Assigned		
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Examiner Initials	Cite No. <sup>5</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.				
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				Filing Date	November 3, 2003
STATEMENT BY APPLICANT			First Named Inventor	Wu et al.	
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				Examiner Name	To Be Assigned
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Examiner Initials	Cite No.¹	U.S. Patent Document  Number - Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
BP	1	US-6,133,034	10/17/2000	Strom et al.				
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		Country Code <sup>3</sup> Number <sup>4</sup> (if known)						
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BP	4		Su et al., "Dehydration-Stress-Regulated Transgene Expression in Stably Transformed Rice Plants," Plant Physiology 117(3):913-922 (1998)					
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST,16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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BP	4		Su et al., "Dehydration-Stress-Regulated Transgene Expression in Stably Transformed Rice Plants," Plant Physiology 117(3):913-922 (1998)				
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